

NEW001/135871

Preliminary Classification:

Proposed Class:

Subclass:

NOTE: "All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand corner of the letter of transmittal accompanying the application papers, for example "Proposed Class 2, subclass 129-" MPEP § 601, 7th ed.

03807 U.S. PTO
10/618406
07/10/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Conversion
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

MICHAEL L. NEWMAN, SR.

For (title): **DEHOOKING TOOL FOR RELEASING FISH**

EXPRESS MAILING UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV326177414US, addressed to: Mail Stop Conversion, Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: 7-10-03

Suzanne Stephens
Signature

Suzanne Stephens

(type or print name of person certifying)

1. Type of Application

This new application is for a(n)

(check one applicable item below)

- ☒ Original (non provisional)
☐ Design
 ☐ Plant
- ☐ Divisional.
☐ Continuation.
☐ Continuation-in-part (C-I-P-)

2. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(0) (Regular) or 37 C.F.R. § 1.153 (Design) Application

12 Pages of specification
5 Pages of claims
2 Sheets of drawing

(complete the following, if applicable)

- ☐ The enclosed drawing(s) are photograph(s).
- ☐ The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWINGS" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b).
- ☒ formal
☐ informal

B. Other Papers Enclosed

3 Pages of declaration and power of attorney
1 Pages of abstract
— Other

4. Additional papers enclosed

- ☐ Amendment to claims
 - ☐ Cancel in this application claims ____ before calculating the filing fee.
(At least one original dependent claim must be retained for filing purposes.)
 - ☐ Add the claims shown on the attached amendment. (Claims have been numbered consecutively following the highest numbered original claims.)
- ☐ Preliminary amendment
- ☐ Information Disclosure Statement (37 C.F.R. §1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. Declaration or oath (including power of attorney)

- ☒ Enclosed
Executed by
(check all applicable boxes)
 - ☒ inventor(s).
 - ☐ legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
 - ☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee:
 - ☐ Not Enclosed.
 - ☐ Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of all the above named inventor(s).
- (The declaration or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) can be filed subsequently):
- ☐ Showing that the filing is authorized:
(not required unless called into question. 37 C.F.R. § 1.41(d))

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same
- or
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
- ☒ is submitted.
- ☐ will be submitted.

7. Language

- ☒ English
- ☐ Non-English
- ☐ The attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- ☐ An assignment of the invention to _____
- ☐ is attached. A separate ____ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.
- ☐ will follow.

9. Certified Copy

Certified copy(ies) of application(s)

country _____ appl. no. _____ filed _____

from which priority is claimed

- ☐ is (are) attached.
- ☐ will follow.

10. Fee Calculation (37 CFR 1.16)

A. ☒ Regular Application

CLAIMS AS FILED					
	Number Filed	Number Extra		Rate	Basic Fee
					\$375
Total Claims	19	0	x	18/9	\$0
Independent Claims	2	0	x	84/42	\$0
Multiple Dependent Claim(s), if any			+	280/140	\$0
TOTAL FILING FEE:					\$375.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multiple-dependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. § 1.16(d).

Filing Fee Calculation \$ 375.00

B. ☐ Design application

(\$330.00 or \$165.00--37 CFR 1.16(f))

Filing Fee Calculation \$.00

C. ☐ Plant Application

(\$520.00 or \$260.00--37 CFR 1.16(g))

Filing Fee Calculation \$.00

11. Request for International-Type Search (37 CFR 1.104(d))

(complete, if applicable)

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

12. Assertion of Small Entity Status

☒ Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27

(complete the following, if applicable)

☐ Status as a small entity was asserted in the prior application
_____ filed on _____, from which benefit is being claimed for this
application under:
35 U.S.C. § ___ 119(e)
 ___ 120
 ___ 121
 ___ 365(c)

and which status as a small entity is still proper and asserted for this application.

☐ A copy of the written assertion of small entity filed in the prior application is included.

Filing Fee Calculation (50%) of A, B, or C above) \$ 375.00

13. Fee Payment Being Made at This Time

☐ Not Enclosed
☐ No filing fee is to be paid at this time.
(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed:
☒ Basic filing fee \$ 375.00
☐ Recording Assignment \$ _____
(\$40.00; 37 CFR 1.21(h))
☐ Petition fee for filing by other than all the \$ _____
inventors or person on behalf of the inventor
where inventor refused to sign or cannot be
reached.
(\$130.00; 37 CFR 1.47 and 1.17(h))
☐ For processing an application with a \$ _____
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k))
☐ Processing and retention fee. \$ _____
(\$130.00; 37 CFR 1.53(d) and 1.21(l))
☐ Fee for international-type search report \$ _____
(\$40.00; 37 CFR 1.21(e))

Total fees enclosed \$ 375.00

14. Method of Payment of Fees

- ☒ Attached is a Check in the amounts of \$ 375.00.
- ☐ Authorization is hereby made to charge the amount of \$ ___ to
- ☒ To Deposit Account 50-0897
- ☐ To Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

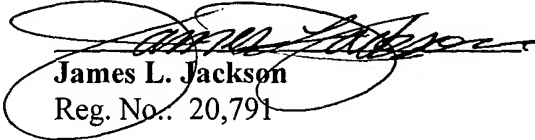
15. Authorization to Charge Additional Fees

- ☒ The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.
- ☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
- ☒ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
- ☐ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 C.F.R. § 1.17(a)(1)--(5) (extension fees pursuant to § 1.136(a)).
- ☐ 37 C.F.R. § 1.17 (application processing fees)
- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))

16. Instructions as to Overpayment

- ☒ **Credit Account No. 50-0897**
- ☐ Refund

Date: July 10, 2003
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James L. Jackson
Reg. No.: 20,791

- ☒ **Incorporation by reference of added pages**
(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-1-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

- ☒ **Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed**

Number of pages added _____

- ☐ **Plus Added Pages for Papers Referred to in Item 4 Above** Number of pages added

Number of pages added _____

- ☐ **Plus added pages deleting names of inventors) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.**
Number of pages added

Number of pages added _____

- ☐ **Plus "Assignment Cover Letter Accompanying New Application"**

Number of pages added _____

- ☐ **Statement Where No Further Pages Added**

(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)

- ☐ This transmittal ends with this page.